APPLICATION, NEW

ALCOHOLIC BEVERAGE RETAILER’S PERMIT

return to
Alcoholic Beverage Control Division
Permit Department
P.O. Box 22828
Jackson, MS 39225
APPLICATION INSTRUCTIONS

This application may be typed or neatly printed in ink.

Please read these instructions prior to completing this application

Each applicant, regardless of the type of ABC permit sought, must meet the statutory qualifications set by the Local Option Alcoholic Beverage Control Laws, codified at Title 67 of the Mississippi Code. The Department, under authority of these laws, has established policies requiring applicants to file certain documents concerning the applicant’s place of business. This application asks for particular information concerning each applicant to allow the Department to determine the eligibility of the applicant for licensing as well as the suitability of the business premises to offer alcoholic beverages for sale.

The application immediately follows these instructions. Indicate with an (x) the type of license sought under this application and include the appropriate license fee.

It is important that you complete the appropriate SUPPLEMENTAL INFORMATION portion of the application that corresponds to the type of license that you are seeking. (I.e. package store, on-premises, or on-premises private club) (NOTE: An on-premises private club is a chartered organization formed for purposes other than profiting from the sale of alcoholic beverages. Some examples of private clubs are veteran’s groups, lodges, and golf clubs).

Be thorough in your completion of the STATEMENT OF OWNERSHIP section. Locate on this form the ownership classification of the applicant, whether a sole owner, partnership, corporation, limited liability company, trust or other. This form contains instructions on who must file qualifying documents (PERSONAL RECORD, Form 1001, SUMMARY FINANCIAL STATEMENT, Form 2007; and fingerprint cards) with this application. Note that partnerships, limited liability companies and corporations and trusts must also file a separate SUMMARY FINANCIAL STATEMENT disclosing the financial status of the business. Financial Statements must be within sixty (60) days. Standard Bank Confirmation Forms are also enclosed and must be completed if you have a banking institution outside of the State of Mississippi. Some in-state banks might also require this form to be completed, therefore please check with your bank.

Each applicant must submit two (2) fingerprint cards. Fingerprints must be completed by a law enforcement agency on ABC “Applicant” fingerprint cards. The cards must be complete, legible, and capable of being classified by the Federal Bureau of Investigation. Some law enforcement agencies have ABC fingerprint cards on hand. If you need fingerprint cards, contact the Permit Dept. or visit your local MS Department of Revenue office.

You will notice that there are two separate waivers that must be submitted. These waivers serve different purposes. The first waiver accompanies your personal record form and authorizes ABC to conduct a thorough background investigation to determine your qualifications for an ABC license. The second waiver accompanies the SUMMARY FINANCIAL STATEMENT. The purpose of this waiver is to verify the financial solvency that you disclose on the form with banking institutions. **It is required that you have your signature witnessed by two people on both waivers and authorizations to release information.** Signatures on each PERSONAL RECORD form must be notarized.
You must submit with this application a copy of your lease, if leasing the business premises, or your deed, if you own the business premises. If a lease, the lessee must be the applicant for the alcoholic beverage permit (sole owner, partnership, Limited Liability Company, trust or corporation) and the lease may not expire for at least twelve (12) months. You must also include a FLOOR PLAN of the business premises that details your proposed areas of customer service, storage, kitchen facilities, restrooms, etc. The floor plan that you submit must receive approval from ABC prior to the issuance of an ABC license. Sales and consumption of alcoholic beverages are limited to the approved floor plan. Additionally, you must submit a menu if you are applying for a license as an on-premise retailer or caterer.

New applicants are required to give public notice of their intent to make application by publication for two (2) consecutive issues in a newspaper of general circulation published in the city or town in which applicant's place of business is located. However, in such instances where no newspaper is published in the city or town, then the same shall be published in a newspaper of general circulation published in the county where the applicant's business is located. If no newspaper is published in the county, the notice shall be published in a qualified newspaper which is published in the closest neighboring county and circulated in the county of applicant's residence. Such notice shall be printed in ten-point black face type and shall set forth the type of permit to be applied for, the exact location of the place of business, the name of the owner or owners thereof, and if operating under an assumed name, the trade name together with the names of all owners, and if a corporation, the names and titles of all officers. The cost of such notice shall be borne by the applicant. An acceptable legal notice format is included in this packet. Submit with this application a PUBLISHER’S AFFIDAVIT (obtained from the newspaper) as proof of publication.

You must apply to the Dept. of The Treasury and furnish with this application a completed copy of TTB Form 5630.5d (7/2008). To obtain a form, go to www.ttb.gov. If you have questions or need assistance, you may call the Alcohol and Tobacco Tax and Trade Bureau at 1-800-937-8864.

You must register with the Department of Revenue and obtain a sales tax number. You can download a registration form at the MSTC website at www.dor.ms.gov. You may return this form with your application or provide a copy of the registration you filed at your local DOR District Service Office.

Applicants can’t be indebted to the state for any taxes, fees, or penalties. Your MISSISSIPPI INCOME TAX filing status, if applicable, will be verified for the past three (3) years. If our records indicate that you are delinquent in filing these returns (or you are indebted to the State of Mississippi for any other taxes, fees or penalties) you will be notified and must obtain clearance from your local DOR District Office before we can continue processing your application.

As of September 1, 2012, the following regulation regarding restaurant qualification is in effect:

201 To qualify as a “restaurant” under Miss. Code Ann. Section 67-1-5(m), the premises must have and maintain the following minimum kitchen requirements:
1. A menu that contains at least five (5) separate entrees. Food items must be prepared in whole or in part on the premises. Food items that are merely heated and served or “ready-to-eat” without further preparation do not meet this requirement. Specialty or theme restaurants that specialize in one entrée line may be exempted from this requirement so long as the entrée line contains an acceptable number of theme or specialty entrée variations.
2. At a minimum, a Risk Category 2 Permit issued by the Mississippi State Department of Health. Proof of the Permit is required for the initial application and all subsequent renewals.
3. Employ at least one (1) employee with management or supervisory responsibility certified as a “food manager”, or an equivalent position, by an educational program recognized by the Mississippi Department of Health.

4. A kitchen that contains the following functional equipment:
   a. Oven and stove top (can be one complete unit);
   b. Cold storage areas (i.e., a refrigerator and freezer, either separate or combined);
   c. Ventilation hood that meets applicable requirements under Mississippi State Department of Health regulations and city and/or local ordinances;
   d. Adequate food preparation areas and countertop space;
   e. Mop sink;
   f. Three-compartment sink; and
   g. Separate hand-washing facilities for employees.

   All equipment must meet applicable standards as required by the Mississippi State Department of Health and as listed in the FDA Food Code. Menus must be readily available and visible to customers along with the dining hours of operation. Dining hours must be adequate to meet the requirements based on the business's individual food service plan.

5. A minimum of twenty-five percent (25%) of gross annual sales derived from the preparation and serving of food.

6. Otherwise meet the requirements set forth in Miss. Code Ann. Section 67-1-5(m).

202 The term “restaurant” includes a buffet if the buffet line is operational for at least three (3) hours per day. The buffet must contain at least two (2) meat items and three (3) side items. Items commonly known as “finger goods” or “snack foods” do not meet this requirement.

203 In addition to all other application requirements, an applicant must submit a copy of its menu and the certifications listed in subsections (2) and (3) above with its initial application and any subsequent renewal.

Applicants for a common carrier license must submit a copy of the title and tag registration for each vehicle/carrier in service.

Be sure to review the application check list located in the back of the application and include proper payment for the type of license applied for and send the completed forms to:

   Permit Department
   P.O. Box 22828
   Jackson, Mississippi 39225

NEW BUSINESSES UNDER CONSTRUCTION: Applications will not be accepted for locations where no structure exists. Until your new building is completed or very near completion, we can't make a final decision as to whether a permit can be granted pursuant to state law and regulation. Even with the completion of a new site, we can in no way guarantee that you will be granted an ABC permit. In addition to site requirements in state law, all applicants must pass a background investigation. Also, there is the possibility of public opposition to the issuance of the permit which will result in a hearing at the Board of Tax Appeals. Because of this, do not send applications until your new building is complete or near completion. If you would like a proposed site inspected prior to construction to check applicable distance requirements, call the ABC Permit Department at 601-923-7690. This inspection is a courtesy and in no way should be construed as “approval” for construction as variables may change in the surrounding area during the construction process.
APPLICATION, NEW
ALCOHOLIC BEVERAGE RETAILER’S PERMIT

I. APPLICANT

(Name of sole owner, partnership, corporation, limited liability company or trust)

Trade Name

Mailing Address

(Street / P.O. Box) (City) (State) (Zip)

Location of business

(Street) (City) (Zip)

This location is _____ inside _____ outside the corporate city limits.

Is this location new construction (____) or existing structure (____)? (check one)
Include a copy of the lease or deed to the business premises and a floor plan of the premises (see instructions).

Telephone Number (business) ____________________________ (home) ____________________________

(Primary e-mail address) ____________________________________________

II. PERMIT TYPE (check one) FEE AMOUNT

Manufacturer Class I, Distiller &/or Rectifier $9,025.00

Manufacturer Class II, Wine 3,625.00

Manufacturer Class III, Native Wine 45.00

Package Retailer 1,825.00

Military Package Retailer 00.00

On-Premise Retailer 925.00

On-Premise Retailer Private Club 475.00
PERMIT TYPE      (check one)      FEE AMOUNT

On-Premise Retailer, Wine Only                  $475.00
Common Carrier                                  145.00, $120.00
Native Wine Retailer                            125.00
Caterer’s Permit, for On-Premise Retailer       325.00
Caterer’s Permit                                1,225.00
Solicitor’s Permit                              125.00
Research Permit                                 225.00
Special Service Permit                          475.00
Merchant Permit*                                475.00
Event Venue                                     475.00

(*Wine Only-Spas, Art Studios, Cooking Schools)

*A Merchant Permit must purchase inventory from a licensed wholesaler. Purchases directly from the ABC Liquor Distribution Center are not allowed.

III. TYPE OF ORGANIZATION

Sole Owner            Partnership
Corporation           LLC
Trust                 Other___________________

IV. Does the applicant have or has the applicant ever had an interest in any other alcoholic beverage retailer’s permit?

Yes   No   If “yes,” explain fully:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

V. Is the applicant indebted to the State of Mississippi for any taxes, fees, or payment of penalties imposed by law or by any rule or regulation of the Commission?

Yes   No   If “yes,” explain fully:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

VI. List your Mississippi sales tax number:

________________________________________________________________________

List your Federal Tax Identification number (EIN)

________________________________________________________________________

VII. Have you submitted your application to the TTB on form 5630.5d?

Yes   No   You must submit a copy of this registration with your application.
SUPPLEMENTAL INFORMATION
CATERER’S PERMIT APPLICANTS ONLY

Complete this section in addition to the STATEMENT OF OWNERSHIP (Attach Menu"

I. Include a copy of the health certificate issued by the State Department of Health.
List the certificate number.

II. Does the applicant understand that ten (10) days prior to each catered event, written notice of such event must be supplied to the Alcoholic Beverage Control? Yes No (Contact ABC for forms used for this notification)

** You must attach a copy of your menu with this application.

SUPPLEMENTAL INFORMATION
PACKAGE RETAILER APPLICANTS ONLY

Complete this section in addition to the STATEMENT OF OWNERSHIP

I. Whether the applicant is an individual, partnership, or limited liability company, is the individual or each partner or each member of LLC, a legal resident of the State of Mississippi? Yes No

II. Is the applicant a corporation? Yes No
If “yes”, is the designated manager a legal resident of Mississippi? Yes No

Managers require Commission approval. Contact the ABC Permit Department for an application or download an application from the DOR website, www.dor.ms.gov.
SUPPLEMENTAL INFORMATION
ON-PREMISE PERMIT APPLICANTS ONLY

Hotel, Motel, Bed-and-Breakfast inns, restaurants, and common carriers and similar applicants must complete Section I, On-Premise Retailer Club applicants must complete Section II, in addition to the STATEMENT OF OWNERSHIP.

SECTION I

A. Name of business ___________________________________________________________

B. Type of business
   Hotel/Motel   Bed & Breakfast
   Restaurant   Common Carrier
   Other ________________

   If hotel, motel, or bed & breakfast, number of rooms _________________
   Population of city ________________

C. General manager ___________________________________________________________
   Home address ___________________________________________________________
   (Street / P.O. Box) (City) (State) (Zip)

D. Restaurant manager _______________________________________________________
   Home address ___________________________________________________________
   (Street / P.O. Box) (City) (State) (Zip)

E. Beverage sales manager __________________________________________________
   Home address ___________________________________________________________
   (Street / P.O. Box) (City) (State) (Zip)

*On-Premise applicants for restaurants must attach a copy of your menu with this application.*
SECTION II—ON PREMISE RETAILER PRIVATE CLUB

Complete in addition to the STATEMENT OF OWNERSHIP.

A. Name of club

B. Date of organization’s found

C. If an association, list name and address of national organization.

D. Number of members as of date of this application: 

Attach two (2) copies of a membership listing to this application including names and addresses of each member.

E. Does the club, as organized or incorporated, meet the statutory definition of a club as found in Section 67-1-5 (n) of the Mississippi Code? Yes No Please review this statute prior to answering.

If “no,” explain fully:

F. Will any club member, officer, agent or employee receive a salary or other compensation or any profit from the distribution or sale of alcoholic beverages to the club, its members or guests beyond any salary or compensation as decided by the directors or other governing body paid from the general revenue of the club? Yes No

If “yes,” explain fully:

G. The following items concerning the club must be filed with this application.

- [ ] Articles of Association
- [ ] Charter of Incorporation
- [ ] Copy of Bylaws
- [ ] Two (2) copies of a List of Members
STATEMENT OF OWNERSHIP

I. Name of business ________________________________________________________________

II. Will this business be operated as a **sole proprietorship** by the person applying for this Permit? Yes No
   
   If "yes," submit with this application:
   
   □ Personal Record (form 1001)
   □ Summary Financial Statement (form 2007)
   □ Two properly executed fingerprint cards

III. Will this business be operated as a **partnership**? Yes No
   
   If "yes," list each partner’s name and extent of his interest in the partnership.

   NAME    HOME ADDRESS    % OF INTEREST OWNED

   __________________________________________________________________________

   __________________________________________________________________________

   __________________________________________________________________________

   **NOTE:** Each partner must submit with this application
   
   □ Personal Record (form 1001)
   □ Summary Financial Statement (form 2007)
   □ Two properly executed fingerprint cards

   A separate SUMMARY FINANCIAL STATEMENT (Form 2007) must be completed for the partnership. **Include a copy**
   of your partnership agreement.

IV. Will this business be operated as a **corporation**? Yes No
   
   If "yes," list:
   
   Total amount of stock ________________
   Common Stock ________________
   Preferred Stock ________________
List each principal officer, director, and all 10% or greater stockholders. In addition to completing the information requested include a list of all other stockholders including their age, address, and number of shares owned as well as a copy of the corporate charter.

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<tr>
<th>NAME</th>
<th>CORPORATE TITLE</th>
<th>ADDRESS</th>
<th>SHARES OWNED</th>
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NOTE: Each officer*, director, and 10% or greater stockowner must submit with this application:

- Personal Record (form 1001)
- Summary Financial Statement (form 2007)
- Two properly executed fingerprint cards

A separate SUMMARY FINANCIAL STATEMENT (Form 2007) must be completed for the corporation. *Officers owning less than 10% of the stock of the corporation do not file a Summary Financial Statement.

V. Will this business be operated as a trust? Yes No

If “yes,” list the trustee and each beneficiary below. Submit a copy of the trust instrument with this application.

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<th>NAME</th>
<th>TYPE</th>
<th>STATE OF RESIDENCY</th>
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NOTE: The trustee and each beneficiary must submit with this application:

- Personal Record (form 1001)
- Summary Financial Statement (form 2007)
- Two properly executed fingerprint cards
A separate SUMMARY FINANCIAL STATEMENT (Form 2007) must be completed and submitted on the trust itself. Please note that no trustee or beneficiary of a trust applying for a license can be under the age of twenty-one (21).

VI. Will this business be operated as a **limited liability company**?  
Yes  
No

*If yes, list each member's name, address and their percentage of ownership. Identify the managing members below. You must submit a copy of your limited liability company agreement with this application.*

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<tr>
<th>NAME</th>
<th>TITLE</th>
<th>ADDRESS</th>
<th>% OWNED</th>
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**NOTE:** Each member must submit with this application:

- [ ] Personal Record (form 1001)
- [ ] Summary Financial Statement (form 2007)
- [ ] Two properly executed fingerprint cards

A separate SUMMARY FINANCIAL STATEMENT (Form 2007) must be completed for the limited liability company and for each member who owns 10% or more interest in the company. Please note that no member of a liability company applying for a license can be under the age of twenty-one (21).

VII. Will this business be operated as an **on-premise retailer club** as defined by Section 67-1-5 (n) of the 1972 MCA?  
Yes  
No

*If "yes," list the officers and directors of the club below.*

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**NOTE:** Each member must submit with this application:

- [ ] Personal Record (form 1001)
- [ ] Summary Financial Statement (form 2007)
- [ ] Two properly executed fingerprint cards
PERMITTEE CERTIFICATION AND OATH

I, ________________________________, certify under penalty of perjury that the organization applying for this Alcoholic Beverage Retailer’s Permit does meet the qualifications of a permittee as described in Sections 67-1-5, 67-1-51, 67-1-55 and 67-1-69 of the Mississippi Code of 1972, Annotated. I affirm that this organization will comply fully with the provisions of the Local Option Alcoholic Beverage Control Laws, Rules and Regulations in the purchase, sale, and handling of alcoholic beverages and will keep all records and make all reports and remittances as required thereby. I certify that the information presented on this application to be true and correct, to the best of my knowledge and belief. I also agree that making a material misrepresentation on this application (or personal record form attached hereto) shall be evidence of a lack of trustworthiness as contemplated by MS Code Ann. Section 67-1-57 and provide a basis for denial on this application.

______________________________
Signature

______________________________
Date

______________________________
Title

SWORN TO AND SUBSCRIBED before me, this the _______ day of ______________, ___-___.

______________________________
NOTARY PUBLIC

My commission expires: _______________________________
WAIVER AND AUTHORIZATION TO RELEASE INFORMATION

TO WHOM IT MAY CONCERN:

I authorize you to furnish the Alcoholic Beverage Control Division, Department of Revenue with any and all information that you may have concerning me, my work record, my reputation, and my military service records. You may allow inspection or records by, and copies of these records may be provided to, an authorized representative of the Alcoholic Beverage Control Division. Information of a confidential or privileged nature may be included. Your reply will be used by the Department in determining my fitness and eligibility to be granted an Alcoholic Beverage Control Permit.

A reproduction of this request by Xerox or similar process shall be for all intents and purposes as valid as the original.

I hereby release you, your organization and others from liability or damages which may result from furnishing the information requested.

__________________________________________  ____________________________
Applicant’s Signature                              Date

__________________________________________
Applicant’s Trade Name

WITNESS SIGNATURES

__________________________________________

__________________________________________
PERSONAL RECORD

1. Name ____________________________
   (Last) ____________________________ (First) ____________________________ (Middle) ____________________________
   Sole Owner   Partner   Officer
   Stockholder   Director   Manager
   Member   Trustee   Trust Beneficiary

2. Name of business ____________________________

3. Date of birth ____________________________ Social Security Number* ____________________________
   Driver’s License Number ____________________________ Age ________ Sex ________ Height ________
   Weight ________ Hair color ____________________________ Eye color ____________________________ Race ____________________________

*This information will be used for identification and in the administration of state tax laws. The Department is authorized to collect the information pursuant to 42 U.S.C. § 405(c)(2)(C)(i). Additionally, Mississippi law requires all applicants under Miss. Code Ann. §67-1-1 et seq. to provide social security numbers. Miss. Code Ann. §67-1-53. states that any applicant who refuses to provide the required information will be denied the permit.

4. Telephone (home) ____________________________ (work) ____________________________

5. List your residences for the past five years starting with current address.

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6. List your employment or occupational history for the past five (5) years.

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<th>To Month/Year</th>
<th>Business Name and Address</th>
<th>City, State, Zip Code</th>
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7. Have you filed and paid your Mississippi State Income Taxes? Yes No
   If “no,” explain fully: ________________________________________________________________

8. Have you ever been convicted of any of the following? Answer each question:
   A. A felony in any state, federal or military court? Yes No
   B. A violation of the Local Option ABC Laws, Rules and Regulations, or the Prohibition Laws in any state or local jurisdiction? Yes No
   C. A violation of any law relating to alcoholic beverages or beer? (For example: DUI, Sales of Alcohol to a Minor, Public Intoxication, or Sale of Alcohol to a Visibly Intoxicated Person, etc.) Yes No
   D. A violation of any controlled substance related law? Yes No

If “yes” to 8A, 8B, 8C or 8D, explain fully on PERSONAL RECORD SUPPLEMENT
PERSONAL RECORD SUPPLEMENT

If “yes”, to question 8A, 8B, 8C, or 8D on Personal Record, Form 1001, explain fully:

List convictions (specific charges) ____________________________________________

__________________________________

__________________________________

__________________________________

Date and jurisdiction of same ________________________________________________

__________________________________

Applicant’s Signature

Date

NOTARY

STATE OF ____________________________

COUNTY OF ____________________________

THIS DAY personally came and appeared before me, the undersigned authority in and for the aforesaid jurisdiction, the within named ______________________________ Who, after being by me first duly sworn, states on oath that the matters contained and set forth in the foregoing application are true and correct as stated therein.

SWORN TO AND SUBSCRIBED before me, this the ___ day of __________. ______.

__________________________________

NOTARY PUBLIC

My Commission expires: ____________________________
SUMMARY FINANCIAL STATEMENT

I. Name

(Last) (First) (Middle / Maiden)

II. Name of business

III. Financial statement is: Personal Partnership Corporation Trust LLC

IV. List checking, savings, and/or loan institution references. Continue on separate page if needed:

Checking:
(Institution Name) (Account Number)

Savings:
(Institution Name) (Account Number)

Loan:
(Institution Name) (Account Number)

V. List each asset, tangible or intangible, below. These amounts are accurate as of ________________. ______.

Current Assets
Cash on hand $___________________
Cash on deposit $___________________
Accounts & Notes Receivable $___________________

Investments
Stocks and Bonds $___________________
Business Investment $___________________

Fixed Assets
Real Estate $___________________
Other $___________________

Total Assets $___________________
VI. List each liability below. These amounts are accurate as of _______________________. _____.

**Current Liabilities** (debts due within one year)
- Accounts Payable (ex. credit cards) $__________________
- Taxes Payable $__________________
- Other $__________________

**Long Term Liabilities** (debts due in more than one year)
- Notes Payable $__________________
- Mortgages Payable $__________________
- Other $__________________

**Total Liabilities** $__________________

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**WAIVER AND AUTHORIZATION TO RELEASE FINANCIAL INFORMATION**

**TO WHOM IT MAY CONCERN:**

I hereby request and authorize you to furnish the Alcoholic Beverage Control Division, Department of Revenue, with any and all information you may have concerning me or my financial records and copy such records, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege. I agree to indemnify and hold harmless the person to whom this request is presented from all manner of actions arising out of or by reason of complying with this request.

A reproduction of this request by Xerox or similar process shall be for all intents and purposes as valid as the original.

This request shall expire twelve (12) months from the date of signing.

__________________________________  ________________________________
Applicant’s Signature            Date

__________________________________  ________________________________
Applicant Trade Name

**WITNESS SIGNATURES (two witnesses)**
STANDARD BANK CONFIRMATION FORM

Dear Sirs:

Your completion of the following report is sincerely appreciated. If the answer to any item is “none,” please so state. Kindly mail direct to the accountant named below.

REPORT FROM BANK:

Bank customer should check here if confirmation of bank balance only (item 1) is desired.

Bank should check whichever is applicable: This report covers all with this office.

with this office and all other domestic offices.

NAME OF ACCOUNTANT:
Alcoholic Beverage Control Division
P O Box 22828
Jackson, MS 39225

Dear Sir:

1. We hereby report that at the close of business on the credit of , our records showed the following balance(s) to the credit of .

<table>
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<tr>
<th>Amount</th>
<th>Designation of Account</th>
<th>Is Balance Subject to Withdrawal by check?</th>
<th>Does Account Bear Interest?</th>
<th>Give Rate</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
</tbody>
</table>

2. We further report that the above mentioned depositor was directly liable to us in the respect of loans, acceptances, etc., at the close of business on that date in the total amount of $ as follows:

<table>
<thead>
<tr>
<th>Amount</th>
<th>Date of Loan or Discount</th>
<th>Due Date</th>
<th>Interest Rate</th>
<th>Paid To</th>
<th>Description of Liability, Collateral, Liens, Endorsers, etc.</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
</tbody>
</table>
3. Said Depositor was contingently liable as endorser of notes discounted and/or as guarantor at the close of business on that date in the total amount of $__________________ as follows:

<table>
<thead>
<tr>
<th>Amount</th>
<th>Name of Maker</th>
<th>Date of Note</th>
<th>Due Date</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>$</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$</td>
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<tr>
<td>$</td>
<td></td>
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</tr>
</tbody>
</table>

4. Who is authorized to sign on account(s):

___________________________________________________________

___________________________________________________________

___________________________________________________________

___________________________________________________________

___________________________________________________________

___________________________________________________________

___________________________________________________________

Other direct or contingent liabilities, open letters of credit, and relative collateral, were

___________________________________________________________

___________________________________________________________

Date __________________, ______.  

Yours truly,

(Bank)________________________

By __________________________

Authorized Signature
LEGAL NOTICE

FORMAT FOR PUBLICATION OF ORIGINAL PERMIT APPLICATION

Check Applicable Phrases

I, ________________________________________________________________
(Sole Owner's Name)

We, the partners of ____________________________________________________
(Partnership Name)

We, the officers of ______________________________________________________
(Corporation Name)

I/We, the member(s) of __________________________________________________
(Limited Liability Company Name)

I, the trustee of _________________________________________________________
(Name of Trust)

Intend to make application for:

( ) a Manufacturer Class I, Distiller &/or Rectifier permit
( ) a Manufacturer Class II, Wine permit
( ) a Manufacturer Class III, Native Wine permit
( ) a Package Retailer permit
( ) an On-Premise Retailer permit
( ) an On-Premise Retailer, Club permit
( ) an On-Premise Retailer, Wine only permit
( ) a Common Carrier permit
( ) a Native Wine Retailer permit
( ) a Caterer’s permit, for on-premise retailer permit holders
( ) a Caterer’s permit
( ) a Solicitor’s permit
( ) a Research permit
( ) a Merchant permit
( ) a Special Service permit
( ) an event venue permit

As provided for by the Local Option Alcoholic Beverage Control Laws, Section 67-1-1, et seq., of the Mississippi Code of 1972, Annotated. If granted such permit, I or We propose to operate as a

( ) sole owner
( ) partnership
( ) corporation
( ) limited liability company
( ) trust
Under the trade name of ________________________________________________________________

Located at __________________________________________________________________________

(Street) (City) (County)

The name(s), title(s) and address(es) of the owner(s)/partners/corporate officer(s) and/or majority stockholder(s)/ member(s)/ trustee of the above named business are:

____________________________________________________________________________________

____________________________________________________________________________________

____________________________________________________________________________________

If any person wishes to request a hearing to object to the issuance of this permit a request for a hearing must be made in writing and received by the Department of Revenue within (15) fifteen days from the first date this notice was published.

Requests shall be sent to:

Chief Counsel, Legal Division
Department of Revenue
P. O. Box 22828
Jackson, MS 39225

Date of First Publication: _________________________________

This the____day of__________________, ______.
NOTICE

Your permit and packet will be sent to you when your permit is approved. Please allow 4-6 weeks to process this application. If you would like to pick your permit and packet up at the Liquor Distribution Center, you must make prior arrangement with the ABC Permit Department.

You may not place your initial order for alcoholic beverages with the ABC until the day after your permit has been approved by the Department. We must create your account and establish a delivery route for your business before your order may be processed.

APPLICATION CHECK LIST

Have you:

☐ Applied for the proper retailer permit?
☐ Included the correct fee payment for the permit?
☐ Completed the supplemental information?
☐ Compiled a summary financial statement for the business?
☐ Included a diagram of your floorplanned area?
☐ Included a copy of your lease or deed?
☐ Included a personal record statement, summary financial statement, two fingerprint cards, and executed a release of information for each person?
☐ Identified on the “statement of ownership”?
☐ Included Proof of Publication of your legal notice?
☐ Signed the application where notice and had the signatures notarized & witnessed?
☐ Submitted the partnership agreement, trust instrument or limited liability company agreement (if applicable)?
☐ Registered with the TTB on form 5630.5d and submitted a copy with your application?
☐ If applicable, attached a copy of your Risk Class II Food Service Permit and Food Manager Certification?

ABC Telephone Numbers

<table>
<thead>
<tr>
<th>Service</th>
<th>Phone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administration</td>
<td>601-856-1301</td>
</tr>
<tr>
<td>Accounting</td>
<td>601-856-1310</td>
</tr>
<tr>
<td>Enforcement</td>
<td>601-856-1320</td>
</tr>
<tr>
<td>Permit</td>
<td>601-923-7690</td>
</tr>
<tr>
<td>Processing(ordering)</td>
<td>601-856-1360</td>
</tr>
<tr>
<td>Purchasing (&amp; special orders)</td>
<td>601-856-1340</td>
</tr>
<tr>
<td>Warehouse</td>
<td>601-856-1380</td>
</tr>
</tbody>
</table>